Name of the Company: SHIVALIK BIMETAL CONTROLS LIMITED

Scrip Code, Name of the Scrip, class of security: Y, 513097, SHBCLQ, Equity Shares

Quarter Ended: 30.09.2013

Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter / promoters group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Outstanding Convertible securities	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter / promoters group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Warrants	No. of Warrants	As a % of total No. of Warrants	As a % of total no. of shares of the company, assuming full conversion of Warrants
Held by promoter / promoters group	NIL	NIL	NIL
Held by ublic	NIL	NIL	NIL
Total	NIL	NIL	NIL
Total paid-up capital of the Companassuming full conversion of warrant and convertible securities	-		

Name of the Company : SHIVALIK BIMETAL CONTROLS LIMITED

Scrip Code, Name of the Scrip, class of security: Y, 513097, SHBCLQ, Equity Shares

Quarter Ended: 30.09.2013

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
				dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(A)	Shareholding of Promoter and Promoter Group ²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	16	3949600	3938600	20.569	20.569	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.000	0.000	0	0.00
(c)	Bodies Corporate	6	7847535	7847535	40.870	40.870	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.000	0.000	0	0.00
(e)	Any Others(Specify)	0	0	0	0.000	0.000	0	0.00
(e-i)		0	0	0	0.000	0.000	0	0.00
(e-ii)		0	0	0	0.000	0.000	0	0.00
	Sub Total(A)(1)	22	11797135	11786135	61.439	61.439	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/							
	Foreign Individuals)	0	0	0	0.000	0.000	0	0.00
b	Bodies Corporate	0	0	0	0.000	0.000	0	0.00
c	Institutions	0	0	0	0.000	0.000	0	0.00
d	Any Others(Specify)	0	0	0	0.000	0.000	0	0.00
d-i		0	0	0	0.000	0.000	0	0.00
d-ii		0	0	0	0.000	0.000	0	0.00
	Sub Total(A)(2)	0	0	0	0.000	0.000	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	22	11797135	11786135	61.439	61.439	0	0.00

(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.000	0.000	N.A.	N.A.
(b)	Financial Institutions Banks	1	1000	1000	0.005	0.005	N.A.	N.A.
(c)	Central Government/ State Government(s)	0	0	0	0.000	0.000	N.A.	N.A.
(d)	Venture Capital Funds	0	0	0	0.000	0.000	N.A.	N.A.
(e)	Insurance Companies	0	0	0	0.000	0.000	N.A.	N.A.
(f)	Foreign Institutional Investors	0	0	0	0.000	0.000	N.A.	N.A.
(g)	Foreign Venture Capital Investors	0	0	0	0.000	0.000	N.A.	N.A.
(h)	Any Other (specify)	0	0	0	0.000	0.000	N.A.	N.A.
(h-i)					0.000	0.000	N.A.	N.A.
(h-ii)					0.000	0.000	N.A.	N.A.
	Sub-Total (B)(1)	1	1000	1000	0.005	0.005	N.A.	N.A.
B 2	Non-institutions							
(a)	Bodies Corporate	93	327266	321265	1.704	1.704	N.A.	N.A.
(b)	Individuals						N.A.	N.A.
(0)	Individuals -i. Individual shareholders holding						1,111	11111
I	nominal share capital up to Rs 1 lakh	4594	6182879	5211579	32.200	32.200	N.A.	N.A.
II	ii. Individual shareholders holding nominal							
	share capital in excess of Rs. 1 lakh.	6	697136	697136	3.631	3.631	N.A.	N.A.
(c)	Any Other (specify)							
(c-i)	Non-resident Indian/OCB	33	102697	102697	0.535	0.535	N.A.	N.A.
(c-ii)	Clearing Member	17	93287	93287	0.486	0.486	N.A.	N.A.
	Sub-Total (B)(2)	4743	7403265	6425964	38.556	38.556	N.A.	N.A.
	Total Public Shareholding							
(B)	(B)=(B)(1)+(B)(2)	4744	7404265	6426964	38.561	38.561	N.A.	N.A.
	TOTAL (A) (B)	4766	10201400	10212000	100	100	0	0.00
	TOTAL (A)+(B)	4/00	19201400	18213099	100	100	0	0.00
(C)	Shares held by Custodians and against							
	which Depository Receipts have been issued				0.00	0.00	N.A.	N.A.
1	Promoter and Promoter Group							
2	Public							
	GRAND TOTAL (A)+(B)+(C)	4766	19201400	18213099	100	100	0	0.00

NOTES:

(I)(b)Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of S	Shares held	Shares Pledged or Otherwise Encumbered		Details of Warrants		Securities		Total Shares (including underlying shares assuming full conversion of Warrants and Convertible Securities) as a % of diluted Share Capital	
		Number of Shares held	As a % of Grand Total (A+B+C)	Number	As a percentage	As a % of Grand Total (A+B+C) of sub-clause (I)(a)	Number of Warrants held	As a % Total number of Warrants of the same class	Number of Convertible Securities held	As a % Total number of Convertible Securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=V/III* 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Mr. S. S. Sandhu	288000	1.500	0	0.00	0.00	0	0.00	0	0.00	288000
2	Mr. D. J. S. Sandhu	101000	0.526	0	0.00	0.00	0	0.00	0	0.00	101000
3	Mr. N. S. Ghumman	1465000	7.630	0	0.00	0.00	0	0.00	0	0.00	1465000
4	Manjit Kaur	585000	3.047	0	0.00	0.00	0	0.00	0	0.00	585000
5	Shana Ghumman	12000	0.062	0	0.00	0.00	0	0.00	0	0.00	12000
6											
	Gurdial Kaur	32000	0.167	0	0.00	0.00	0	0.00	0	0.00	32000
7	Tejinderjeet Kaur Ghumman	32000 296000	0.167 1.542	0	0.00 0.00	0.00	0	0.00 0.00	0	0.00	296000
7 8	Tejinderjeet Kaur Ghumman Sarita Sandhu	32000 296000 666000	0.167 1.542 3.468	0 0 0	0.00 0.00 0.00	0.00 0.00 0.00	0 0 0	0.00 0.00 0.00	0 0 0	0.00	296000 666000
9	Tejinderjeet Kaur Ghumman Sarita Sandhu Gurbir Sandhu	32000 296000 666000 323000	0.167 1.542 3.468 1.682	0 0 0	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0 0 0	0.00 0.00 0.00 0.00	0 0 0	0.00 0.00 0.00	296000 666000 323000
9	Tejinderjeet Kaur Ghumman Sarita Sandhu Gurbir Sandhu Angad Sandhu	32000 296000 666000 323000 153600	0.167 1.542 3.468 1.682 0.800	0 0 0 0	0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00	0 0 0 0	0.00 0.00 0.00 0.00 0.00	0 0 0 0	0.00 0.00 0.00 0.00	296000 666000 323000 153600
9	Tejinderjeet Kaur Ghumman Sarita Sandhu Gurbir Sandhu Angad Sandhu Jaspal Singh Dhillon	32000 296000 666000 323000 153600 2000	0.167 1.542 3.468 1.682 0.800 0.010	0 0 0 0 0	0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00	0 0 0 0 0	0.00 0.00 0.00 0.00 0.00 0.00	0 0 0 0 0	0.00 0.00 0.00 0.00 0.00	296000 666000 323000 153600 2000
9 10 11 12	Tejinderjeet Kaur Ghumman Sarita Sandhu Gurbir Sandhu Angad Sandhu Jaspal Singh Dhillon Brig. Harjit Singh Sidhu (Retd.)	32000 296000 666000 323000 153600 2000 8000	0.167 1.542 3.468 1.682 0.800 0.010 0.042	0 0 0 0 0 0	0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00	0 0 0 0 0 0	0.00 0.00 0.00 0.00 0.00 0.00 0.00	0 0 0 0 0 0	0.00 0.00 0.00 0.00 0.00 0.00	296000 666000 323000 153600 2000 8000
9 10 11 12 13	Tejinderjeet Kaur Ghumman Sarita Sandhu Gurbir Sandhu Angad Sandhu Jaspal Singh Dhillon Brig. Harjit Singh Sidhu (Retd.) Sumati Sood	32000 296000 666000 323000 153600 2000 8000	0.167 1.542 3.468 1.682 0.800 0.010 0.042 0.052	0 0 0 0 0 0	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0 0 0 0 0 0 0	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0 0 0 0 0 0 0	0.00 0.00 0.00 0.00 0.00 0.00 0.00	296000 666000 323000 153600 2000 8000 10000
9 10 11 12 13 14	Tejinderjeet Kaur Ghumman Sarita Sandhu Gurbir Sandhu Angad Sandhu Jaspal Singh Dhillon Brig. Harjit Singh Sidhu (Retd.)	32000 296000 666000 323000 153600 2000 8000	0.167 1.542 3.468 1.682 0.800 0.010 0.042 0.052 0.005	0 0 0 0 0 0	0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00	0 0 0 0 0 0	0.00 0.00 0.00 0.00 0.00 0.00 0.00	0 0 0 0 0 0	0.00 0.00 0.00 0.00 0.00 0.00	296000 666000 323000 153600 2000 8000

16	Sumer Ghumman	1000	0.005	0	0.00	0.00	0	0.00	0	0.00	1000
17	O D Finance and Investment Pvt. Ltd.	1427390	7.434	0	0.00	0.00	0	0.00	0	0.00	1427390
18	Ultra Portfolio Management Pvt. Ltd.	983090	5.120	0	0.00	0.00	0	0.00	0	0.00	983090
19	TSL Holdings Limited	2790200	14.531	0	0.00	0.00	0	0.00	0	0.00	2790200
20	B.S.Sandhu and Associates Pvt. Ltd.	285200	1.485	0	0.00	0.00	0	0.00	0	0.00	285200
21	Angad Estates Pvt. Ltd.	1655000	8.619	0	0.00	0.00	0	0.00	0	0.00	1655000
22	Amar Engineering Company Pvt. Ltd.	706655	3.680	0	0.00	0.00	0	0.00	0	0.00	706655
	TOTAL	11797135	61.439								11797135

(I)(c)(i) Statement showing holding of securities (includingshares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of	Shares as a	Details o	f Warrants	Details of	Convertible	Total Shares
		shares held	percentage of			Secu	ırities	(including
			total number					underlying
			of shares {i.e.,					shares
			Grand Total					assuming full
			$(\mathbf{A})+(\mathbf{B})+(\mathbf{C})$					conversion of
			indicated in					Warrants and
			Statement at					Convertible
			para (I) (a)					Securities) as
			above}					% of diluted
								Share Capital
				Number of	As a % Total	Number of	% w.r.t. total	
				Warrants	number of	Convertible	number of	
				held	Warrants of	Securities	Convertible	
					the same class	held	Securities of	
							the same class	
1	Lincoln P Coelho	200000	1.042	0	0.00	0	0.00	200000
	TOTAL	200000	1.042	0	0.00	0	0.00	200000

(I)(c)(ii) <u>Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company</u>

Sr. No.	Name(s) shareholder(s) Pesons Acting (PAC) with then	and the in Concert	shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}		f Warrants	Convertible irities	Total Shares (including underlying shares assuming full conversion of Warrants and Convertible Securities) as % of diluted Share Capital
					Number of Warrants held	As a % Total number of Warrants of the same class	% w.r.t. total number of Convertible Securities of the same class	

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}

(II)(b) <u>Statement showing Holding of Depository Receipts (DRs), where underlying</u> shares held by 'promoter / promoter group' are in excess of 1% of the total number of shares

Name of the DR Holder Typof outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}