

(l) (a)

Statement showing Shareholding Pattern

Name of the Company : SHIVALIK BIMETAL CONTROLS LIMITED

Scrip Code, Name of the Scrip, class of security : Y, 513097, SHBCLQ, Equity Shares

Quarter Ended : 31.12.2013

Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter / promoters group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Outstanding Convertible securities	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter / promoters group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Warrants	No. of Warrants	As a % of total No. of Warrants	As a % of total no. of shares of the company, assuming full conversion of Warrants
Held by promoter / promoters group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Total paid-up capital of the Company assuming full conversion of warrants and convertible securities	NIL		

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Scrip Code, Name of the Scrip, class of security : Y, 513097, SHBCLQ, Equity Shares

Quarter Ended : 31.12.2013

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(A)	Shareholding of Promoter and Promoter Group²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	15	3941600	3930600	20.528	20.528	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.000	0.000	0	0.00
(c)	Bodies Corporate	6	7924908	7924908	41.273	41.273	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.000	0.000	0	0.00
(e)	Any Others(Specify)	0	0	0	0.000	0.000	0	0.00
(e-i)		0	0	0	0.000	0.000	0	0.00
(e-ii)		0	0	0	0.000	0.000	0	0.00
	Sub Total(A)(1)	21	11866508	11855508	61.800	61.800	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.000	0.000	0	0.00
b	Bodies Corporate	0	0	0	0.000	0.000	0	0.00
c	Institutions	0	0	0	0.000	0.000	0	0.00
d	Any Others(Specify)	0	0	0	0.000	0.000	0	0.00
d-i		0	0	0	0.000	0.000	0	0.00
d-ii		0	0	0	0.000	0.000	0	0.00
	Sub Total(A)(2)	0	0	0	0.000	0.000	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	21	11866508	11855508	61.800	61.800	0	0.00

(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.000	0.000	N.A.	N.A.
(b)	Financial Institutions / Banks	1	1000	1000	0.005	0.005	N.A.	N.A.
(c)	Central Government/ State Government(s)	0	0	0	0.000	0.000	N.A.	N.A.
(d)	Venture Capital Funds	0	0	0	0.000	0.000	N.A.	N.A.
(e)	Insurance Companies	0	0	0	0.000	0.000	N.A.	N.A.
(f)	Foreign Institutional Investors	0	0	0	0.000	0.000	N.A.	N.A.
(g)	Foreign Venture Capital Investors	0	0	0	0.000	0.000	N.A.	N.A.
(h)	Any Other (specify)	0	0	0	0.000	0.000	N.A.	N.A.
(h-i)					0.000	0.000	N.A.	N.A.
(h-ii)					0.000	0.000	N.A.	N.A.
	Sub-Total (B)(1)	1	1000	1000	0.005	0.005	N.A.	N.A.
B 2	Non-institutions							
(a)	Bodies Corporate	87	300598	294597	1.566	1.566	N.A.	N.A.
(b)	Individuals						N.A.	N.A.
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	4564	6132171	5164871	31.936	31.936	N.A.	N.A.
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	6	697636	697636	3.633	3.633	N.A.	N.A.
(c)	Any Other (specify)							
(c-i)	Non-resident Indian/OCB	33	102597	102597	0.534	0.534	N.A.	N.A.
(c-ii)	Clearing Member	20	100890	100890	0.525	0.525	N.A.	N.A.
	Sub-Total (B)(2)	4710	7333892	6360591	38.195	38.195	N.A.	N.A.
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	4711	7334892	6361591	38.200	38.200	N.A.	N.A.
	TOTAL (A)+(B)	4732	19201400	18217099	100	100	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued				0.00	0.00	N.A.	N.A.
1	Promoter and Promoter Group							
2	Public							
	GRAND TOTAL (A)+(B)+(C)	4732	19201400	18217099	100	100	0	0.00

NOTES:

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Shares Pledged or Otherwise Encumbered			Details of Warrants		Details of Convertible Securities		Total Shares (including underlying shares assuming full conversion of Warrants and Convertible Securities) as a % of diluted Share Capital
		Number of Shares held	As a % of Grand Total (A+B+C)	Number	As a percentage	As a % of Grand Total (A+B+C) of sub-clause (I)(a)	Number of Warrants held	As a % Total number of Warrants of the same class	Number of Convertible Securities held	As a % Total number of Convertible Securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=V/III* 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Mr. S. S. Sandhu	288000	1.500	0	0.00	0.00	0	0.00	0	0.00	288000
2	Mr. D. J. S. Sandhu	101000	0.526	0	0.00	0.00	0	0.00	0	0.00	101000
3	Mr. N. S. Ghumman	1465000	7.630	0	0.00	0.00	0	0.00	0	0.00	1465000
4	Manjit Kaur	585000	3.047	0	0.00	0.00	0	0.00	0	0.00	585000
5	Shana Ghumman	12000	0.062	0	0.00	0.00	0	0.00	0	0.00	12000
6	Gurdial Kaur	32000	0.167	0	0.00	0.00	0	0.00	0	0.00	32000
7	Tejinderjeet Kaur Ghumman	296000	1.542	0	0.00	0.00	0	0.00	0	0.00	296000
8	Sarita Sandhu	666000	3.468	0	0.00	0.00	0	0.00	0	0.00	666000
9	Gurbir Sandhu	323000	1.682	0	0.00	0.00	0	0.00	0	0.00	323000
10	Angad Sandhu	153600	0.800	0	0.00	0.00	0	0.00	0	0.00	153600
11	Jaspal Singh Dhillon	2000	0.010	0	0.00	0.00	0	0.00	0	0.00	2000
12	Sumati Sood	10000	0.052	0	0.00	0.00	0	0.00	0	0.00	10000
13	Subhash C. Verma	1000	0.005	0	0.00	0.00	0	0.00	0	0.00	1000
14	Jit Mohinder Singh	6000	0.031	0	0.00	0.00	0	0.00	0	0.00	6000
15	Sumer Ghumman	1000	0.005	0	0.00	0.00	0	0.00	0	0.00	1000

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I) (a) above}	Details of Warrants		Details of Convertible Securities		Total Shares (including underlying shares assuming full conversion of Warrants and Convertible Securities) as % of diluted Share Capital
				Number of Warrants held	As a % Total number of Warrants of the same class	Number of Convertible Securities held	% w.r.t. total number of Convertible Securities of the same class	
1	Lincoln P Coelho	200000	1.042	0	0.00	0	0.00	200000
	TOTAL	200000	1.042	0	0.00	0	0.00	200000

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the Company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of Warrants		Details of Convertible Securities		Total Shares (including underlying shares assuming full conversion of Warrants and Convertible Securities) as % of diluted Share Capital
				Number of Warrants held	As a % Total number of Warrants of the same class	Number of Convertible Securities held	% w.r.t. total number of Convertible Securities of the same class	

NIL

